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**PATENT** 

Customer No. 22,852

Attorney Docket No. 6502.0062-02

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Peter C. JONES et al.	) Group Art Unit: 2141
Application No.: 09/891,178	Examiner: K. Coulter ECEIVED
Filed: August 3, 2001	SEP 0 9 2003
For: DEFERRED RECONSTRUCTION OF OBJECTS AND REMOTE LOADING FOR EVENT NOTIFICATION IN A DISTRIBUTED SYSTEM	Technology Center 2100 ) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: September 5, 2003

By: Joseph E. Palys

Reg. No. 46,508

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# INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Atty. Docket No.: 06502.0062-02000

Application No.: 891,178/09

RECEIVED

Applicant: Peter C. JONES et al.

SFP 0 9 2003

Filing Date: June 26, 2001

Group 2141

Technology Center 2100

#### **U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US5,101,346	3/31/1992	Ohtsuki			9/27/1989
	US5,303,042	4/12/1994	Lewis et al.			3/25/1992
	US5,506,984	4/9/1996	Miller			4/9/1996
	US5,754,977	5/19/1998	Gardner et al.			3/6/1996
	US5,761,507	5/2/1998	Govett			3/5/1996
	US6,034,925	3/7/2000	Wehmeyer			5/2/1996
	US6,055,562	4/25/2000	Devarakonda et al.			5/1/1997
	US6,058,381	5/2/2000	Nelson			10/30/1997
	US6,078,655	6/20/2000	Fahrer et al.			8/27/1997
	US6,192,044 B1	2/20/2001	Mack			3/31/1997
	US6,199,068 B1	3/6/2001	Carpenter			5/21/1985
	US6,219,675 B1	4/17/2001	Pal et al.			6/5/1997
	US5,764,915	6/9/1998	Heimsoth et al.			3/8/1996

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
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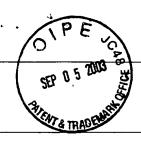
Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Atty. Docket No.: 06502.0062-02000 Application No.: 891,178/09

Applicant:

Filing Date: June 26, 2001

Group 2154

SEP 0 9 2003
Technology Center 2100

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
"Java (TM) Object Serialization Specification", Sun Microsystems, Inc., XP-002242372, <a href="https://www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1_2.pdf">www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1_2.pdf</a> , 1998				
"Java.io ObjectInputStream", XP-002243027, <java.sun.com 1.1="" docs="" guide="" jdk="" products="" serialization="" spec="">, 1998, p. 1230-1232, 1263-1264 &amp; 1283</java.sun.com>				
Opyrchal et al., "Efficient Object Serialization in Jave", Department of Electrical Engineering and Computer Science, University of Michigan, May 5, 1999,				

Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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